

Three-dimensional spectral analysis of gravity waves from airglow observations over Northwest China

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Key Points:

- Seasonal variation characteristics of gravity waves (GWs) over Northwest China were first investigated by using the three-dimensional spectral analysis method.
- Gravity waves mainly propagate in the north and northeast directions in spring, summer, and autumn, and in the south direction in winter.
- The zonal propagation direction of GWs is controlled by the wind-filtering effect, whereas the meridional direction is mainly determined by the location of the wave source.

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Abstract: The three-dimensional spectral analysis method was applied to airglow data from September 2023 to August 2024 derived from an OH airglow imager located at the Hejing station (42.79°N, 83.73°E) to study the propagation characteristics of gravity waves (GWs) over Northwest China. We found that obvious seasonal variations occur in the propagation of GWs. In spring, GWs mainly propagate in the northeast direction. In summer and autumn, GWs mainly propagate in the north direction. However, GWs mainly propagate in the south direction in winter. The direction of GW propagation in the zonal direction is controlled by the wind-filtering effect, whereas the north–south meridional direction is mainly determined by the location of the wave source. We found that the average energy spectrum exhibits a 10%–20% higher intensity in summer and winter compared with spring and autumn. For the first time, we report the seasonal variation characteristics of GWs over the inland areas of Northwest China, which is of great significance for understanding the regional distribution characteristics of GWs.

Keywords: airglow; gravity wave; three-dimensional spectral analysis; seasonal variation

1. Introduction

Atmospheric gravity waves (GWs) are mainly generated by convection (Alexander and Holton, 2004; Li QZ et al., 2022; Nyassor et al., 2022; Franco-Diaz et al., 2024), wind shear (Pramitha et al., 2015), and cold fronts (Wrasse et al., 2024) in the lower atmosphere and orography (Liu X et al., 2019; Geldenhuys et al., 2021). Solar eclipses can also generate GWs (Gu SY et al., 2023). When GWs propagate upward to the mesopause, they break up and deposit momentum and energy in that region. Gravity waves play an important role in controlling the thermal and compositional structures and global circulation of the atmosphere (Lindzen, 1981; Fritts and Alexander, 2003; Gao HY et al., 2018; Ren DX et al., 2023).

Atmospheric GWs are widely studied by ground-based observation equipment, such as the radiosonde (Zhang SD et al., 2017), radar

(Vargas et al., 2021), lidar (Ban C et al., 2015; Gong SH et al., 2015; Xue XH et al., 2020), and photometer (Ding F et al., 2004). These types of ground-based equipment can observe only the direction of the zenith. Although satellites can observe horizontally in two dimensions, the time resolution is limited. The all-sky airglow imaging device can compensate for the defects of imitations of these devices. When GWs pass through the airglow layer, they cause a disturbance (Ghodpage et al., 2016). The all-sky airglow imaging device detects this disturbance and provides two-dimensional, high-spatiotemporal resolution GW imaging observations. In the past, the main method for processing airglow was based on visual inspection (Wu Q and Killeen, 1996; Nakamura et al., 1999; Ejiri et al., 2003; Bageston et al., 2009; Li QZ et al., 2011, 2016, 2018), which resulted in recognition errors.

Matsuda et al. (2014) developed a spectral analysis method by converting the three-dimensional (3D) wavenumber space to the horizontal phase velocity space, resulting in a powerful spectral analysis tool for performing efficient statistical analysis of massive airglow images. This method can provide not only the propagation direction and horizontal phase velocity distribution of GWs, but

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also the energy spectrum information. Recently, this method has been used for statistical research on GWs (Takeo et al., 2017; Tsuchiya et al., 2018; Kogure et al., 2023).

The terrain conditions in the inland areas of Northwest China are complex and unique, with deserts and high mountains. However, this area is considered the farthest from the coast, and the characteristics of GWs over this area have not been studied. In this study, we report for the first time the characteristics of GWs for this area by using the 3D spectral analysis method.

2. Observation and Method

2.1 Airglow Imager

Two sets of airglow imagers were operated at the Hejing station, Xinjiang, in August 2023. Figure 1 shows the location of the Hejing station (42.79°N, 83.73°E). These imagers are part of the Passive Optical Observation System for the Chinese Meridian Project Phase II Programme (Wang C et al., 2020). Every imager consists of a fish-eye lens (Focal length of 16 mm and aperture of $f/4.0$) with a field of view (FOV) of 180° and a cooled-CCD camera with a resolution of 1024×1024 pixels. The OH near-infrared band filter, which spans from 715 to 930 nm, features a notch centered at 865 ± 9 nm to block the $O_2(0, 1)$ emission line. In this study, we used images of the OH airglow emission with typical emission heights of ~ 87 km. The exposure time was set to 60 s for the OH image.

2.2 Analysis Method

Figure 2a shows a raw OH airglow image obtained at Hejing at 13:52:46 universal time (UT) on January 2, 2024. First, we applied a median filter with a window size of 15×15 pixels to the raw images to remove stars. Second, we removed the dark counts and offset values of the CCD, which were estimated in the areas near the four corners of the raw images outside the FOV. We assumed that the dark count was uniform over the CCD array. We then calculated the normalized perturbation of the airglow intensity ΔI ,

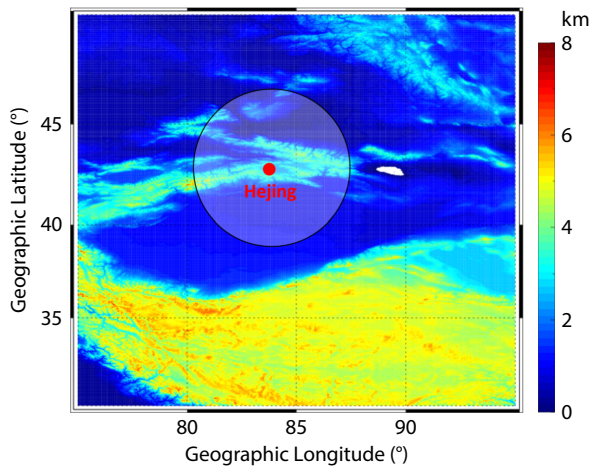


Figure 1. Location of the airglow imager station. The circle on the map gives the effective observation ranges of the OH airglow imager with a diameter of approximately 800 km. The background shows a map of the topography elevation from GTOPO30 (global digital elevation model with a horizontal grid spacing of 30 arc seconds).

$$\Delta I = \frac{I - \bar{I}}{\bar{I}}, \quad (1)$$

where I is the airglow intensity and \bar{I} is the airglow intensity averaged over a ± 30 min window. Third, we projected the processed images onto geographic coordinates with an area of 800×800 km, assuming a peak emission height of 87 km. Figure 2b shows the projected image from Figure 2a.

Next, we calculated spectra of the zonal and meridional wavenumber and frequency by applying the 3D fast Fourier transform (FFT). Figure 2c shows the wavenumber spectrum of the OH images at Hejing from 13:34:07 to 14:04:01 UT on January 2, 2024. The wavenumber spectral domains (k, l, ω) were converted to horizontal phase velocity domains (v_x, v_y, ω) by using Equations (2) and (3) (Matsuda et al., 2014):

$$v_x = \frac{\omega k}{k^2 + l^2}, \quad (2)$$

$$v_y = \frac{\omega l}{k^2 + l^2}, \quad (3)$$

where k is the zonal wave number, and l is the meridional wave number, respectively, ω is the frequency, v_x and v_y are the zonal and meridional components of the horizontal phase velocity, respectively. The volume element conversion between the wavenumber spectral space and the horizontal phase velocity space is given by Equations (4) and (5):

$$dv_x dv_y d\omega = J \cdot dk dl d\omega, \quad (4)$$

$$J = \begin{vmatrix} \frac{\partial v_x}{\partial k} & \frac{\partial v_x}{\partial l} & \frac{\partial v_x}{\partial \omega} \\ \frac{\partial v_y}{\partial k} & \frac{\partial v_y}{\partial l} & \frac{\partial v_y}{\partial \omega} \\ 0 & 0 & 1 \end{vmatrix}. \quad (5)$$

Figure 2d shows the phase velocity spectrum converted from the wavenumber spectrum shown in Figure 2c. The phase velocity spectrum is distributed in the south and southwest directions (180° – 225° clockwise from the north) with a peak of 60–100 m/s, which indicates that the main waves observed by the imager were south- and southwest-propagating waves with phase velocities of 20–90 m/s during the period of 13:34:07 to 14:04:01 UT on January 2, 2024.

3. Results and Discussion

3.1 Seasonal Variations of the Horizontal Phase Velocity Spectrum

In this study, the OH airglow data used for statistical analysis were obtained from the Hejing station during the period from September 2023 to August 2024. We defined spring as March to April (2 months), summer as May to August (4 months), autumn as September to October (2 months), and winter as November to February (4 months). Table 1 shows the seasonal distribution of clear observation times and the number of GW events. The clear-sky duration in Hejing is significantly longer in winter than in other seasons, as the other seasons are often characterized by cloudy and rainy weather. Therefore, the statistical analysis results of GWs in winter are more reliable than those in other seasons.

Figure 3 shows seasonal horizontal phase velocity spectra of

Table 1. The seasonal distribution of clear observation times and number of GW events.

	Spring	Summer	Autumn	Winter	Total
Total of clear observation times (h)	112	278	172	624	1186
Number of GW events	23	67	42	143	275

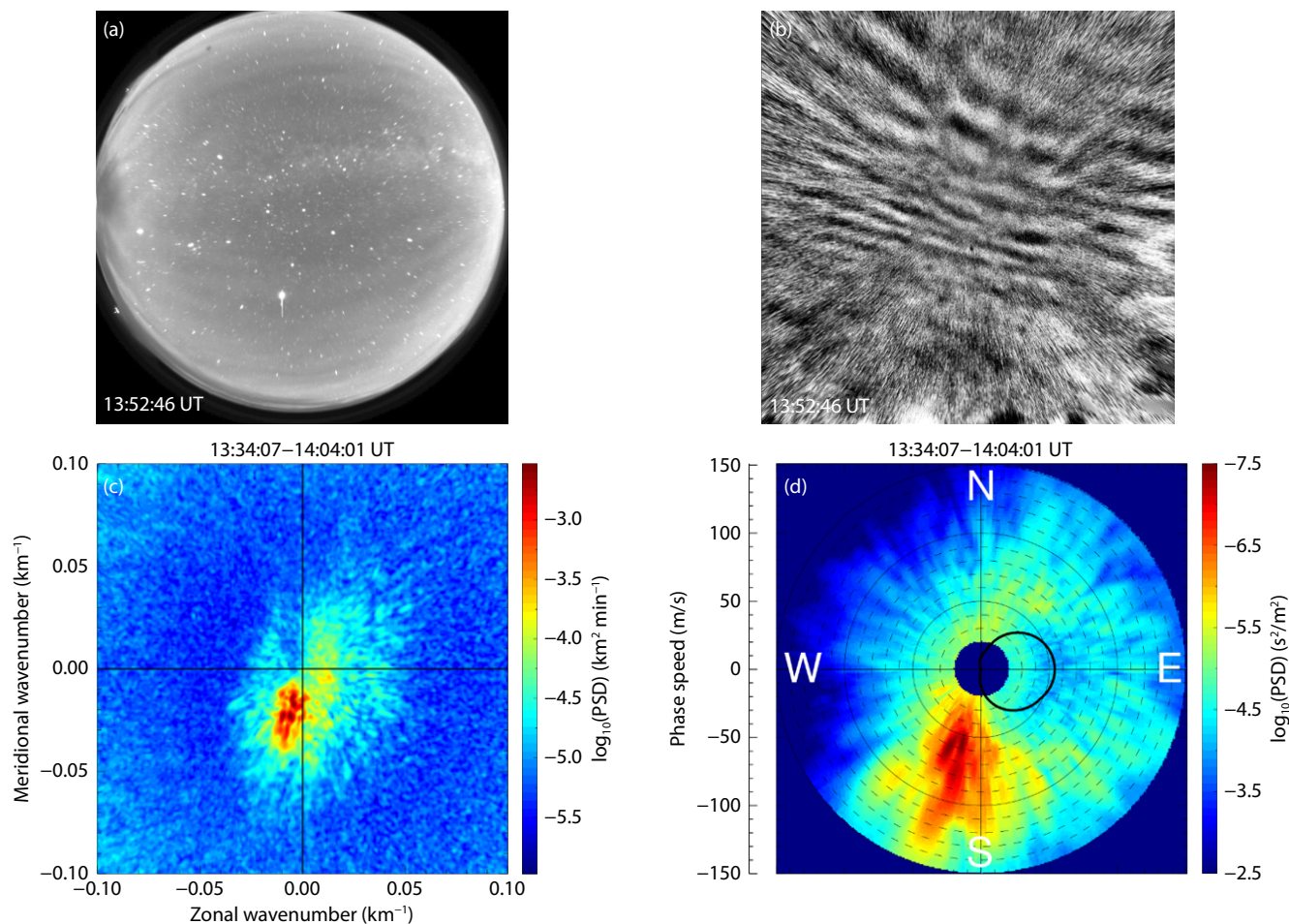


Figure 2. (a) A raw OH airglow image obtained at Hejing at 13:52:46 UT on January 2, 2024. (b) The processed image was projected to 800×800 km in geographic coordinates with northward to the top and eastward to the right. (c) The wavenumber spectrum of the OH images at Hejing at 13:34:07–14:04:01 UT on January 2, 2024. (d) The phase velocity spectrum (integrated over the frequency domain), converted from the wavenumber spectrum shown in (c).

mesospheric GWs seen in the OH images obtained at the Hejing station. The power spectral density (PSD) represents the power of GWs. The low-velocity region (0 to 20 m/s) is not shown because of white noise contamination of the airglow images. The PSD of horizontal phase velocity spectra for each season comes from the average of the selected time window. Obvious seasonal variation characteristics can be seen. The phase velocity spectrum is distributed in the north and northeast directions with phase velocities of 40–70 m/s in spring and northeastward with phase velocities of 30–100 m/s in summer. In autumn, the phase velocity spectrum is distributed in the northwest and northeast directions with phase velocities of 30–90 m/s. In winter, the phase velocity spectrum is distributed in the south and southeast directions with phase velocities of 40–110 m/s.

Figure 4 shows the average energy spectrum distribution with

seasonal variation. We found that the average energy spectral intensity in summer and winter was higher than that in spring and autumn, with the highest in winter. This may be due to the active meteorological activity in the lower atmosphere during summer and winter.

3.2 Possible Mechanisms That Affect the Anisotropy of Wave Propagation

The convective system is the main source of GWs. We used the vertical fluid motion values provided by ERA5 (ECMWF Reanalysis v5; [Hersbach et al., 2020](#)), European Centre for Medium-Range Weather Forecasts (ECMWF), to study the strength of convective activity, where a negative pressure vertical velocity (upward motion) indicates relatively strong convective activity. Figure 5 shows seasonal averages of the tropospheric vertical flow velocity. Regions of strong upward velocities from the southwest direction

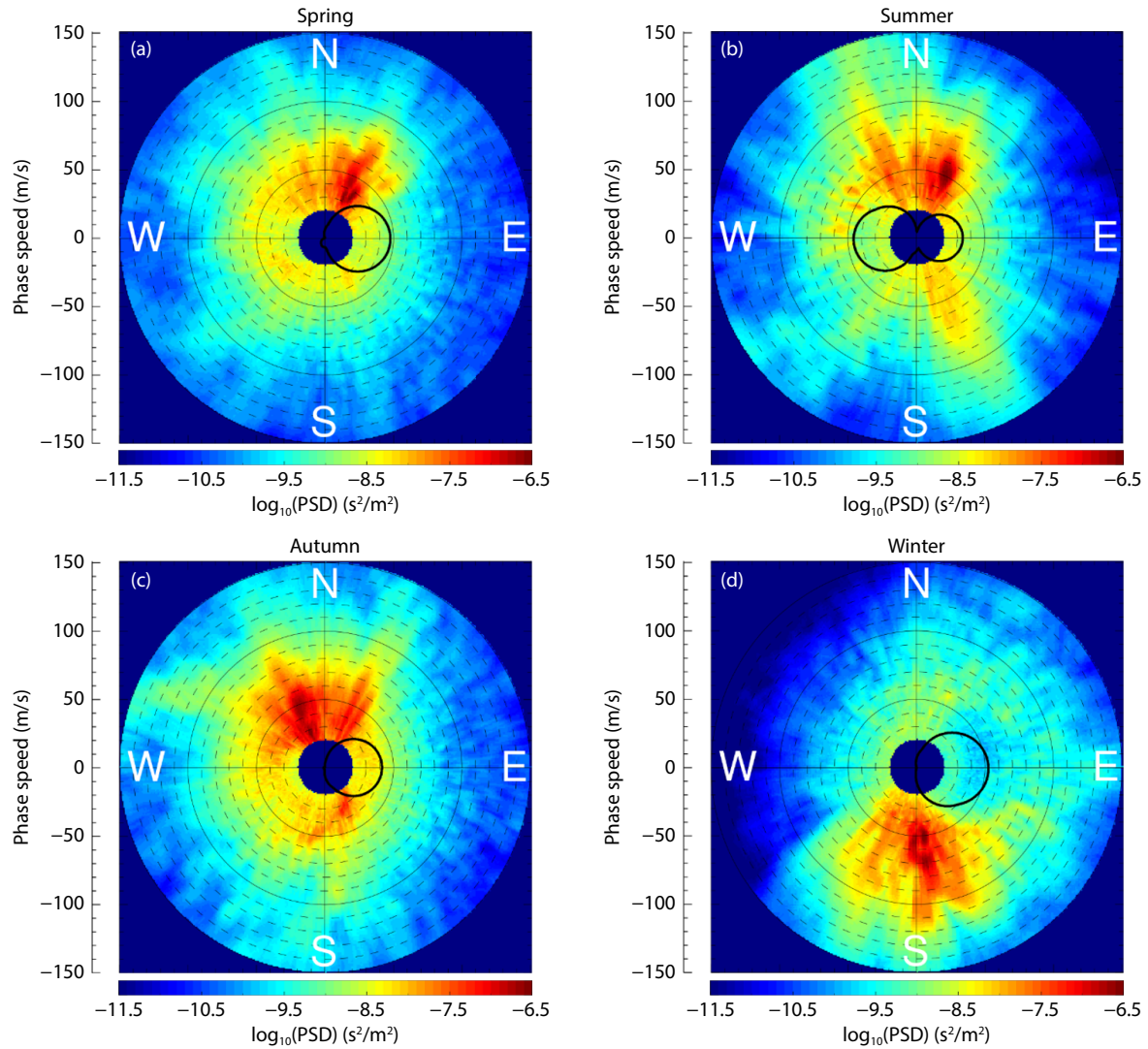


Figure 3. The seasonal horizontal phase velocity spectra of mesospheric GWs seen in OH images obtained at the Hejing station. The black circles represent blocking diagrams of the forbidden GW propagation region caused by wind filtering.

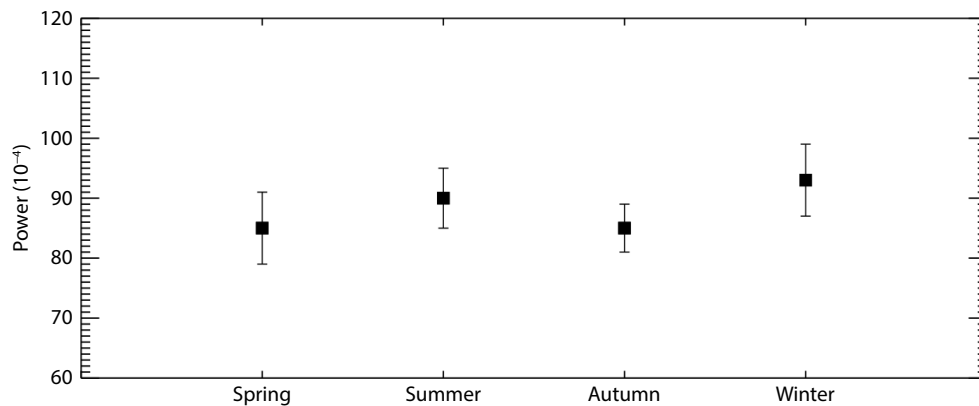


Figure 4. Average energy spectrum distribution with seasonal variation.

can be seen in spring, summer, and autumn. In autumn, strong convective activity appears over the Qinghai–Tibet Plateau south-east of the station. In winter, a region of strong vertical velocities appears north of the Hejing station. The GWs generated in this area will contribute to the southern propagation of GWs observed

over the Hejing station. Therefore, the distribution of convective systems in different regions of the troposphere can explain the differences in the north–south propagation directions of GWs observed by the OH airglow imager.

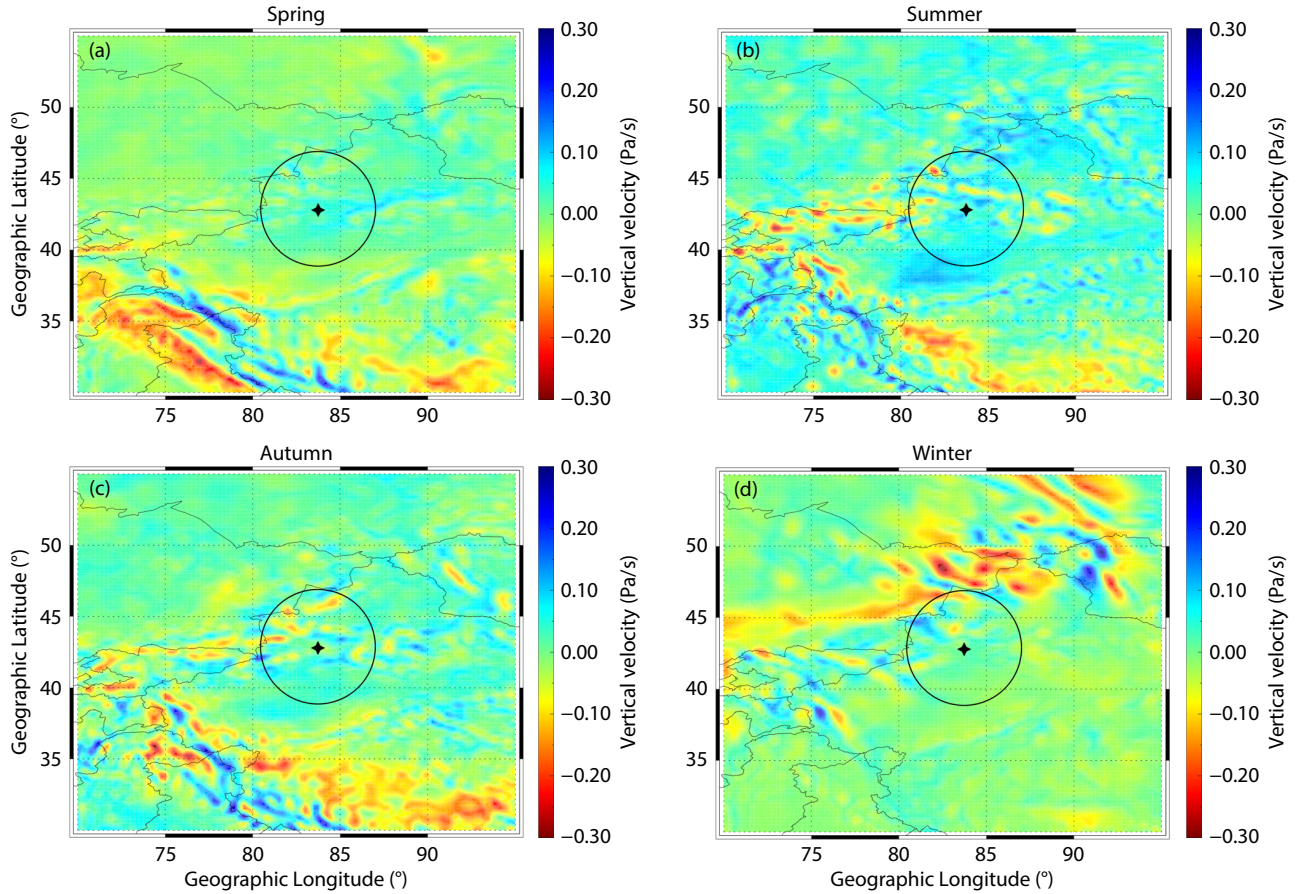


Figure 5. Seasonal averages of the tropospheric vertical flow velocity. These plots were obtained by averaging the upward flow data at 400 hPa (~ 7 km altitude) obtained by the ERA5, European Center for Medium-Range Forecasts, over the nights when we calculated the airglow spectra shown in Figure 3. The circle in each panel gives the effective observation ranges of the OH airglow imager with a diameter of approximately 800 km.

Regarding the difference in the direction of propagation between the east and the west, the filtering effect of the wind field may have played an important role. The dispersion relationship of GWs (Hines, 1960) is given by

$$m^2 = \frac{N^2}{(c - u)^2} - k_h^2 - \frac{1}{4H^2}, \quad (6)$$

where m and k_h are vertical and horizontal wavenumbers, respectively; c is the horizontal observed phase speed of the GW; u is the background wind speed in the wave propagation direction; N is the Brunt-Väisälä frequency; and H is the scale height. According to Equation (6), if the GW propagation speed is close to or equal to the horizontal wind speed in the wave propagation, the vertical wave number m will become infinite, which means that when encountering a critical layer, GWs will not be able to propagate upward.

A blocking diagram is used to represent the velocity distribution, and the GWs in this distribution area cannot propagate to a specific height because of the critical layer filtering effect. The blocking diagram is generated by the following equation:

$$c = V_z \cos \varphi + V_m \sin \varphi, \quad (7)$$

where V_z and V_m are the zonal and meridional wind speed,

respectively, and φ is the azimuth (anticlockwise from the east) of the horizontal propagation direction.

Because of the lack of simultaneous wind field observations, the wind field in the altitude range of 0 to 80 km comes from ERA5, and the wind field in the range of 80 to 87 km comes from horizontal wind model-14 (HWM14; Drob et al., 2015). Figure 6a shows a 3D blocking diagram (Taylor et al., 1993) from 0 to 87 km on January 2, 2024. Figure 6b shows the 2D blocking diagram from Figure 6a. Two-dimensional blocking diagrams are superimposed on the horizontal velocity spectrum in Figure 3. From Figure 3, it can be seen that almost no GW spectrum is present in the blocking diagram area, which is quite consistent with the critical layer filtering theory.

Gravity waves generated in the lower atmosphere in this forbidden region will be filtered out and cannot be observed by the airglow imager. However, GWs generated through secondary waves in the stratosphere may not be filtered out by wind fields. Another scenario exists in which GWs can propagate thousands of kilometers through ducts (Xu JY et al., 2015; Li QZ et al., 2024). Therefore, some GWs within the forbidden region probably did not originate from altitudes below the OH airglow layer, but from a location far away from the station by using ducts distributed at the height of the mesopause region.

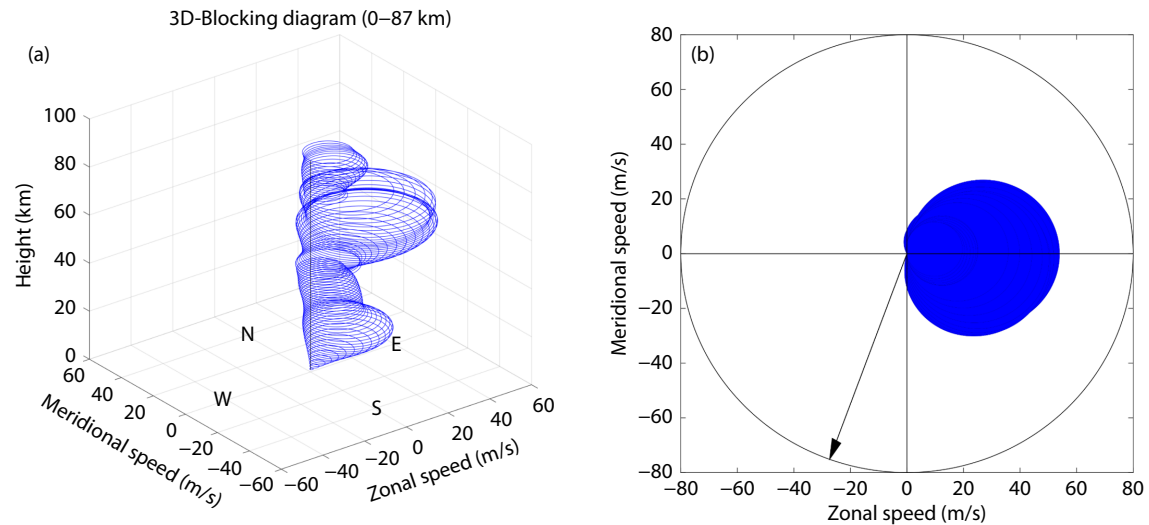


Figure 6. (a) Three-dimensional blocking diagram from 0 to 87 km on January 2, 2024. The wind field used from 0 to 80 km comes from ERA5, and that from 80 to 87 km comes from the HWM14 model. (b) The 2D blocking diagram from (a). The arrow in (b) represents the magnitude and direction of the GW.

From the aforementioned analysis, we found that the convective systems and wind-field filtering control the seasonal variation characteristics of GW propagation above the Hejing station. Another factor that cannot be ignored is the topographic condition. The Hejing station is located in the Tianshan Mountains. Here, the terrain can generate stationary mountain waves. However, the mountain waves generated by the Tianshan Mountains can be broken in the stratospheric region to produce secondary waves, which are also an important source of GWs (Liu X et al., 2019). In future work, we will focus on the impact of mountain waves in the Tianshan region on the middle and upper atmosphere.

4. Conclusions

We analyzed the propagation characteristics of GWs by using the 3D spectral analysis method for OH all-sky airglow imager data obtained from the Hejing station. We provide, for the first time, the seasonal variation characteristics of GWs over the region of Northwest China.

We found obvious seasonal variations in the propagation of GWs. Gravity waves mainly propagate in the southern direction in winter; however, they mainly propagate in the northern direction in spring, summer, and autumn. The zonal propagation direction of GWs is controlled by the wind-filtering effect, whereas the meridional direction is mainly determined by the location of the wave source. We also found that the mean energy spectral intensity during summer and winter is approximately 10%–20% greater than that in spring and autumn. This study is of great significance for understanding the distribution characteristics of GWs over the inland areas of Northwest China.

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